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KATTEN MUCHIN ROSENMAN LLP 575 MADISON AVENUE			NASH, LASHA	NASH, LASHANYA RENEE	
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Please find below and/or attached an Office communication concerning this application or proceeding.

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Office Action Summary		Application No.	Applicant(s)			
		10/099,838	NISHI ET AL.			
		Examiner	Art Unit			
		LaShanya R. Nash	2153			
Period for Reply	NG DATE of this communication app	bears on the cover sheet with the c	correspondence address			
THE MAILING DA - Extensions of time marger SIX (6) MONTHS - If the period for reply of the period for reply - Failure to reply within Any reply received by	STATUTORY PERIOD FOR REPLATE OF THIS COMMUNICATION. BY DE available under the provisions of 37 CFR 1.15 from the mailing date of this communication. BY DE AVAILABLE OF THE STATE OF THE	36(a). In no event, however, may a reply be tin y within the statutory minimum of thirty (30) day will apply and will expire SIX (6) MONTHS from the cause the application to become ABANDONE	nely filed s will be considered timely. the mailing date of this communication. D (35 U.S.C. § 133).			
Status						
1) Responsive	e to communication(s) filed on <u>15 N</u>	1arch 2002.				
2a) This action	This action is FINAL. 2b)⊠ This action is non-final.					
,) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
closed in a	closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.					
Disposition of Clain	ns					
4a) Of the a 5) ☐ Claim(s) 6) ☑ Claim(s) <u>1</u> - 7) ☐ Claim(s)	28 is/are pending in the application bove claim(s) is/are withdra is/are allowed. 28 is/are rejected is/are objected to are subject to restriction and/o	wn from consideration.				
Application Papers						
9) The specification is objected to by the Examiner.						
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
11)[_] The oath or	declaration is objected to by the E	xaminer. Note the attached Office	Action of form P1O-152.			
Priority under 35 U.	S.C. § 119					
a)⊠ All b)☐ 1.☐ Certi 2.☐ Certi 3.☐ Copi appli	ment is made of a claim for foreign Some * c) None of: fied copies of the priority document fied copies of the priority document es of the certified copies of the priority document cation from the International Bureached detailed Office action for a list	ts have been received. ts have been received in Applicat onty documents have been receive u (PCT Rule 17.2(a)).	ion No ed in this National Stage			
Attachment(s)						
1) Notice of Reference 2) Notice of Draftspers	on's Patent Drawing Review (PTO-948) ure Statement(s) (PTO-1449 or PTO/SB/08)	4) Interview Summary Paper No(s)/Mail D 5) Notice of Informal F 6) Other:				

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DETAILED ACTION

Claims 1-26 are pending.

Priority

Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d), which papers have been placed of record in the file.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claim 1 is rejected under 35 U.S.C. 102(b) as being anticipated by Chueng-Hsein (European Patent Application EP 0967559), hereinafter referred to as Chueng-Hsein.

In reference to claim 1, Chueng-Hsein explicitly discloses a system where cache servers are employed in order to temporarily store information during the transfer of content data between clients and provider server via interconnected networks (e.g. Internet), so as to avoid both source and network overload (abstract; paragraphs [0007]-[0008]). Chueng-Hsein further discloses:

A data distribution system (Figure 1; paragraph [0010]-[0013]) comprising: a
 data distribution server (Figure 1-item 101; paragraph [0014]) for supplying

data to a user side (i.e. corporate LAN; Figure 1; paragraph [0015]) at least one access server (i.e. Figure 1-item 500) provided on the user side and transferring intended data to each user; and a network cache apparatus (Figure 1-items 301, 302, 303, 501) provided in a network (i.e. Internet; Figure 1) wherein said data is distributed between said data distribution server and said access server and having a cache function unit for temporarily storing said data from said data distribution server (paragraphs [0022]-[0026]), and an exchange function unit for routing the stored data to said access server corresponding to a destination user (i.e. assigning cache servers to a serve specific clients; paragraphs [0016]-[0018]).

In reference to claim 8, Chueng-Hsein explicitly discloses a data distribution server (i.e. provider host) employed in the caching system as discussed regarding claim 1. Chueng-Hsein further discloses:

• A data distribution server (Figure 1-item 101; paragraph [0014]) placed on outside of the network, receiving the data from the outside, temporarily holding this (Figure 1; paragraph [0010]-[0013]), communicating with the network cache apparatus (Figure 1-items 301, 302, 303, 501) provided in said network (i.e. Internet; Figure 1) for routing the held data to the user side (i.e. corporate LAN; Figure 1; paragraph [0015]), and distributing said data to a user (i.e. client; Figure 1-items 410, 411,412) outside said network (i.e. included in corporate LAN not Internet), (paragraphs [0016]-[0026]).

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In reference to claim 13, Chueng-Hsein explicitly discloses a network cache apparatus (i.e. Figure 1-items 301, 302, 303, 501) employed in the caching system as discussed regarding claim 1. Chueng-Hsein further discloses:

- A network cache apparatus (i.e. Figure 1-items 301, 302, 303, 501;
 paragraphs [0010]-[0013]) comprising:
- A cache function unit linked with both a data distribution server (Figure 1-item 101; paragraph [0014]) for supplying data to the user side (i.e. corporate LAN; Figure 1; paragraph [0015]) and at least one access server (i.e. Figure 1-item 500) provided on the user side and transferring intended data to users for temporarily storing said data from said data distribution server (paragraphs [0022]-[0026]), and an exchange function unit for routing the stored data to said access server corresponding to the destination user(i.e. assigning cache servers to a serve specific clients; paragraphs [0016]-[0018]).

In reference to claim 22, Chueng-Hsein explicitly discloses a network access server (i.e. Figure 1-item 500) employed in the caching system as discussed regarding claim 1. Chueng-Hsein further discloses:

An access server (i.e. Figure 1-item 500) placed outside of the network
 (i.e. included in corporate LAN and not Internet) communicating with the
 network cache apparatus (i.e. Figure 1-items 301, 302, 303, 501;
 paragraphs [0014]-[0015]) provided in said network for receiving the data
 from the outside and temporarily storing this and routing the stored data to
 the user side, fetching said data stored in the network cache apparatus,

and distributing this to the user, (paragraphs [0010]-[0013]; paragraphs [0016]-[0026]).

In reference to claim 4, Chueng-Hsein shows the system wherein, where there is only one network cache apparatus (Figure 1-item 501) in said network, said data distribution server is provided with a data transmitting unit for generating a packet for transmitting said data and adding a cache specifying address for specifying said network cache apparatus as a destination of transmission to the packet, and said network cache apparatus is provided with a routing unit for adding a distribution address for setting said access server to which said packet is to be distributed from the destination information contained in said packet to the packet when detecting said cache specifying address directed to itself and transmitting this to said access server side and thereby performs the distribution of said data, (paragraphs [0010]-[0026]).

In reference to claim 5, Chueng-Hsein shows the system wherein, where there are a plurality of said network cache apparatuses (Figure 1-items 301, 302,303) inside said network, said data distribution server is provided with a data transmitting unit for generating the packet for transmitting said data and adding a cache-specifying multi-cast address for specifying a plurality of said network cache apparatuses as the destination of transmission to the packet, and each said network cache apparatus further distributes said packet to said network cache apparatus of the next stage based on the cache-specifying multi-address

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when detecting said cache-specifying multi-address containing the address of itself and each network cache apparatus is provided with a routing unit for adding a distribution address for setting said access server to which said packet is to be distributed from the destination information contained in said packet to the packet and transmitting this to said access server side and thereby performs the distribution of said data, (paragraphs [0010]-[0026]).

In reference to claim 9, Chueng-Hsein shows the system wherein provision is made of a data transmitting unit for generating a packet for transmitting said data and adding a cache-specifying address for specifying said network cache apparatus as the destination of transmission to the packet and adding a cache-specifying multi-cast address for specifying a plurality of said network cache apparatuses as the destination of transmission to the packet where there are a plurality of said network cache apparatuses in said network, (i.e. assigning cache servers to serve specific clients; paragraphs [0017]-[0021]).

In reference to claim 10, Chueng-Hsein shows the system wherein said data transmitting unit is linked with a data access request receiving unit for accepting a send request of said data from a data provider or an access request of said data from the user and outputs information concerning at least a destination list of the destination of distribution of said data and a valid term of the distribution together with the related data from the data access request receiving unit, (paragraphs [0010]-[0016]; [0022]-[0027]).

In reference to claim 11, Chueng-Hsein shows the system wherein provision is further made of a data storage unit for storing said data and information output from said data access request receiving unit for a certain valid term and said stored data and information are transmitted from said data transmitting unit to said network cache apparatus according to a send instruction, (paragraphs [0007]-[0013]).

In reference to claim 15, Chueng-Hsein shows the system, wherein said cache function unit has a routing unit for receiving said data and information concerned with at least a distribution destination list of the data and a distribution valid term thereof from said data distribution server and controlling a distribution of the data to said access server corresponding to the user as the destination of the distribution via said exchange function unit and a data storage unit for storing the data from said routing unit for the constant valid term, (paragraphs [0017]-[0021]).

In reference to claim 23, Chueng-Hsein shows the system wherein provision is made of a data access request unit linked to the data distribution server placed outside of said network and providing said data to be held by said network cache apparatus and transmitting the access request to the data distribution server when there is an access request of the data from said user with respect to the data distribution server, (paragraph [0008]; paragraphs [0010]-[0015]).

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Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 2 is rejected under 35 U.S.C. 103(a) as being unpatentable over Chueng-Hsein as applied to claim 1 above, and further in view of Shiota (US Patent 6,542,505), hereinafter referred to as Shiota.

In reference to claim 2, although Chueng-Hsein shows substantial features of the invention, the reference fails to show the system wherein both said data distribution server and network cache apparatus and both said network cache apparatus and access server are connected via an optical cross-connect equipment or label switch forming said exchange function unit of the network cache equipment. Nonetheless, this would have been an obvious modification to the system as disclosed by Chueng-Hsein, for one of ordinary skill in the art at the time of invention, as further evidenced by Shiota.

In an analogous art, Shiota discloses a network apparatus with server functionality that also functions as an optical cross-connect equipment (e.g. ATM switch), (abstract). One of ordinary skill in the art would have readily recognized the advantages associated with accordingly modifying the

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aforementioned system, so as to couple multi-media servers and network interfaces decreasing processing efficiency, (column 1, lines 10-16; column 1, lines 55-60).

Claims 3 is rejected under 35 U.S.C. 103(a) as being unpatentable over Chueng-Hsein as applied to claim 1 above, and further in view of Yamamoto at al. (US Patent 6,392,991), hereinafter referred to Yamamoto.

In reference to claim 3, although Chueng-Hsein shows substantial features of the invention, the reference fails to show the system wherein both said data distribution server and network cache apparatus and both said network cache apparatus and access server are connected via an optical cross-connect equipment forming said exchange function unit of the network cache equipment. Nonetheless, this would have been an obvious modification to the system as disclosed by Chueng-Hsein, for one of ordinary skill in the art at the time of invention, as further evidenced by Shiota.

In an analogous art, Shiota discloses a network apparatus with server functionality that also functions as an optical cross-connect equipment (e.g. ATM switch), (abstract). One of ordinary skill in the art would have readily recognized the advantages associated with accordingly modifying the aforementioned system, so as to couple multi-media servers and network interfaces decreasing processing efficiency, (column 1, lines 10-16; column 1, lines 55-60). The references fail to show and said data is distributed by wavelength multiplex transmission. However, this limitation was well known in the

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art at the time of invention, as further evidenced by Yamamoto. Therefore, it would have been obvious for one of ordinary skill in the art to accordingly to the aforementioned system.

In an analogous art, Yamamoto discloses a communication network, node apparatus used in the control of the network (abstract). Yamamoto further discloses that wavelength multiplex transmission paths employed in the communications network to distribute data, (column 2, lines 5-53). One of ordinary skill in the art would have readily recognized the advantages associated with accordingly modifying the aforementioned system, so as to connect a plurality of terminals and node apparatuses, while preventing the communication band from narrowing (Yamamoto column 7, lines 37-44).

Claims 6-7, 11-12, 14, 16-21, and 24-26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Chueng-Hsein as applied to claims 1, 8, 13, and 22 above, and further in view of Dravida et al. (US Patent 5,253,248), hereinafter referred to as Dravida.

In reference to claims 6 and 12 although Chueng-Hsein shows substantial features of the invention, the reference fails to show the system wherein said data distribution server and is provided with a first congestion monitor indicating unit for transmitting a first inquiry request command to the network cache apparatus and inquiring about the congestion state thereof when said data must be transmitted to said network cache apparatus, and said network cache apparatus is provided with a first congestion monitor responding unit for receiving

said first inquiry request command, inquiring about the congestion state thereof, and returning the result to said congestion monitor indicating unit as a first inquiry response, and said data distribution server transmits said data when said first inquiry response indicates "no congestion". However, congestion monitoring was well known in the art at the time of invention, as further evidenced by Dravida. Therefore, it would have been obvious for one of ordinary skill in the art to accordingly to the system as disclosed by Chueng-Hsein.

In an analogous art, Dravida discloses a system for congestion control for traffic in data networks, (abstract). Dravida further discloses congestion monitor indicating unit for transmitting a first inquiry request command to the network cache apparatus and inquiring about the congestion state thereof when said data must be transmitted to said network cache apparatus, and said network cache apparatus is provided with a first congestion monitor (Figure 27-item 2740) responding unit for receiving said first inquiry request command, inquiring about the congestion state thereof, and returning the result to said congestion monitor indicating unit as a first inquiry response, and said data distribution server (i.e. node; Figure 27-item 2701) transmits said data when said first inquiry response indicates "no congestion" (i.e. route to a destination is selected in the absence of congestion; column 11). One of ordinary skill in the art would have readily recognized the advantages associated with accordingly modifying the aforementioned system, so as to avoid spreading congestion within the network and thereby prevents transmission inefficiency, (Dravida abstract).

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In reference to claims 7, 14, 17, and 18 although Chueng-Hsein shows substantial features of the invention, the reference fails to show the system wherein said network cache apparatus is provided with a second congestion monitor indicating unit for transmitting a second inquiry request command to the access server and inquiring about the congestion state thereof when said data is received from said data distribution server and the data must be transmitted to said access server, said access server is provided with a second congestion monitor responding unit for receiving said second inquiry request command, inquiring about the congestion state thereof, and returning the result as a second inquiry response to said second congestion monitor indicating unit, and said network cache apparatus transmits said data when said second inquiry response indicates "no congestion". However, congestion monitoring was well known in the art at the time of invention, as further evidenced by Dravida. Therefore, it would have been obvious for one of ordinary skill in the art to accordingly to the system as disclosed by Chueng-Hsein.

In an analogous art, Dravida discloses a system for congestion control for traffic in data networks, (abstract). Dravida further discloses a congestion monitor (Figure 27-item 2740) indicating unit for transmitting a second inquiry request command to the access server and inquiring about the congestion state thereof when said data is received from said data distribution server and the data must be transmitted to said access server, said access server is provided with a second congestion monitor responding unit for receiving said second inquiry

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request command, inquiring about the congestion state thereof, and returning the result as a second inquiry response to said second congestion monitor indicating unit, and said network cache apparatus transmits said data when said second inquiry response indicates "no congestion", (i.e. route to a destination is selected in the absence of congestion; column 11).. One of ordinary skill in the art would have readily recognized the advantages associated with accordingly modifying the aforementioned system, so as to avoid spreading congestion within the network and thereby prevents transmission inefficiency, (Dravida abstract).

In reference to claim 16 and 19 although Chueng-Hsein shows substantial features of the invention, the reference fails to show the system wherein routing unit creates a routing table listing a group of addresses of the distribution destinations based on said information and specifies said user as the destination of the distribution according to the routing table. However, routing tables were well known in the art at the time of invention, as further evidenced by Dravida. Therefore, it would have been obvious for one of ordinary skill in the art to accordingly to the system as disclosed by Chueng-Hsein.

In an analogous art, Dravida discloses a system for congestion control for traffic in data networks, (abstract). Dravida further discloses congestion monitor creates a routing table, (column 11; Figure 27-items 2760; 2750), and subsequently employed to route data to a selected non-congested path. One of ordinary skill in the art would have readily recognized the advantages associated

with accordingly modifying the aforementioned system, so as to relieve potential congestion on a network by routing portions of traffic on congested primary paths onto predefined alternate paths, (Dravida abstract).

In reference to claim 20, Chueng-Hsein shows the system wherein said cache function unit has a path setting request unit, and the path setting request unit performs the route setting toward said user as the destination of distribution specified according to said routing table with respect to said exchange function unit, (paragraphs [0017]-[0026]).

In reference to claim 21, Chueng-Hsein shows the system wherein said path setting request unit selectively performs said route setting with respect to the access server (paragraph [0008]) for which said inquiry response indicates "no congestion" among a plurality of said access servers (paragraphs [0017]-[0026]); and Dravida shows that system prohibits (i.e. alternate path) the transfer of said data to be distributed to the access server from said data distributing unit with respect to an access server for which said inquiry response does not indicate "no congestion", (column 11).

In reference to claims 24 and 25 although Chueng-Hsein shows substantial features of the invention, the reference fails to show the system wherein provision is made of a congestion monitor responding unit for performing a related inquiry in response to an inquiry request of the congestion state from

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said network cache apparatus and returning the result to the network cache apparatus as the inquiry response; and An access server as set forth in claim 24, wherein provision is made of a data receiving unit for receiving said data transmitted from said network cache apparatus when said inquiry response is "no congestion". However, congestion monitoring was well known in the art at the time of invention, as further evidenced by Dravida. Therefore, it would have been obvious for one of ordinary skill in the art to accordingly to the system as disclosed by Chueng-Hsein.

In an analogous art, Dravida discloses a system for congestion control for traffic in data networks, (abstract). Dravida further discloses a congestion monitor (Figure 27-item 2740) indicating unit for transmitting a second inquiry request command to the access server and inquiring about the congestion state thereof when said data is received from said data distribution server and the data must be transmitted to said access server, said access server is provided with a second congestion monitor responding unit for receiving said second inquiry request command, inquiring about the congestion state thereof, and returning the result as a second inquiry response to said second congestion monitor indicating unit, and said network cache apparatus transmits said data when said second inquiry response indicates "no congestion", (i.e. route to a destination is selected in the absence of congestion; column 11). One of ordinary skill in the art would have readily recognized the advantages associated with accordingly modifying the aforementioned system, so as to avoid spreading congestion within the network and thereby prevents transmission inefficiency, (Dravida abstract).

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In reference to claim 26, Chueng-Hsein shows the system wherein provision is made of a data storage unit for storing said data received at said data receiving unit for a certain valid term, a data transmitting unit for transferring the stored data toward said user side based on a send instruction from said data access request transmitting unit, and a data distributing unit for distributing transferred data corresponding to each user, (paragraphs [0010]-]-[0026]).

Claims 27 and 28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Chueng-Hsein as applied to claim 1 above, and further in view of Dugan et al. (US Patent 6,425,005), hereinafter referred to as Dugan.

In reference to claim 27, although Chueng-Hsein shows substantial features of the invention, the reference fails to show the system giving said cache function unit a duplex configuration, having each of an active cache function unit and a standby cache function unit constantly execute transfer of a monitor packet with said exchange function unit, and, when finding an abnormality in said active cache function unit monitored by the monitor packet, switching to said standby cache function unit to continue the distribution of said data. Nonetheless, these limitations were well known in the art at the time of invention, as further evidenced by Dugan. Therefore, it would have been obvious for one of ordinary skill in the art at the time of invention to accordingly modify the system as disclosed by Chueng-Hsein.

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In an analogous art, Dugan discloses an apparatus of managing local resources at service nodes in an intelligent network, (abstract). Dugan further shows the apparatus comprises a node cache, wherein the cache function unit has a duplex configuration (i.e. two caches; Figure 12(b)), having each of an active cache function unit (i.e. hot cache; Figure 12(b)-item 771a) and a standby cache function unit (i.e. standby cache; Figure 12(b)-item 771b) constantly execute transfer of a monitor packet with said exchange function unit, and, when finding an abnormality in said active cache function unit monitored by the monitor packet, switching to said standby cache function unit to continue the distribution of said data, (column 25, lines 28-65). One of ordinary skill in the art would have readily recognized the advantages associated with accordingly modifying the aforementioned system, so as to provide redundancy thereby supporting continued caching functionality in the event of a cache unit malfunction, (Dugan column 40-65).

In reference to claim 28, although Chueng-Hsein shows substantial features of the invention, the reference fails to show the system wherein, when there are three or more network cache apparatuses inside said network, all network cache apparatuses are made able to set two or more transmission lines connected to two or more other network cache apparatuses, a failure monitor means for monitoring for failure of a transmission line is provided in said exchange function unit in each said network cache apparatus, and, when detecting the occurrence of said failure by the failure monitor means, the related failure transmission line is

switched to another transmission line and the distribution of said data is continued. Nonetheless, these limitations were well known in the art at the time of invention, as further evidenced by Dugan. Therefore, it would have been obvious for one of ordinary skill in the art at the time of invention to accordingly modify the system as disclosed by Chueng-Hsein.

In an analogous art, Dugan discloses an apparatus of managing local resources at service nodes in an intelligent network, (abstract). Dugan further shows the apparatus comprises a node cache, wherein monitoring for failure of a transmission line (e.g. checks to see if still up and functioning) is provided in said exchange function unit in each said network cache apparatus, and, when detecting the occurrence of said failure by the failure monitor means, the related failure transmission line is switched to another transmission line (e.g. switches from hot cache to standby cache) and the distribution of said data is continued, (column 25, lines 28-65). One of ordinary skill in the art would have readily recognized the advantages associated with accordingly modifying the aforementioned system, so as to provide redundancy thereby supporting continued caching functionality in the event of a cache unit malfunction, (Dugan column 40-65).

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to LaShanya R Nash whose telephone number is (571) 272-3957. The examiner can normally be reached on 9am-5pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Glenton Burgess can be reached on (571) 272-3949. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

LaShanya Nash Art Unit, 2153

June 24, 2005

GLENTON B. BURGESS

UPERVISORY PATENT EXAMINER

TECHNOLOGY CENTER 2100